The       hereby confirms that it provides security in lieu of and equivalent to liability insurance to the beneficiary person or enterprise indicated below and that such security satisfies the requirements of the Human Research Act (HRA) and corresponding ordinances (ClinO, ClinO-MD, HRO). In particular, it is confirmed that

* the injured party will be able to assert its claims in Switzerland (in the event of a dispute, file a claim with a Swiss court of law);
* in the event of a legal succession, the security shall remain binding on the guarantor’s successors; and
* the availability of the secured sum is guaranteed even in the event of financial difficulties on the part of the guarantor.

Note: This certificate is not valid unless signed by the guarantor.

|  |  |
| --- | --- |
| **Guarantor:** |       |
| **Beneficiary[[1]](#footnote-1):** |       |

|  |  |  |
| --- | --- | --- |
| **Insured risk:** | [ ]  Medicinal products/ transplant products:[ ]  Other clinical trials | Category:[ ]  A[[2]](#footnote-2)[ ]  B[ ]  C |
|  | [ ]  Medical devices | Category:[ ]  A12[ ]  A22[ ]  C1[ ]  C2[ ]  C2 |
|  | [ ]  Research projects | Category:[[3]](#footnote-3)[ ]  B |
| **Type of security** | [ ]  Bank guarantee[[4]](#footnote-4)[ ]  Fund[[5]](#footnote-5)[ ]  Portfolio[[6]](#footnote-6)[ ]  State liability pursuant to cantonal law[[7]](#footnote-7)[ ]  Third-party guarantee[[8]](#footnote-8)[ ]  Other security[[9]](#footnote-9) |       |
|  | Clinical trial or research project (name): |       |
|  | Number of participants: |       |

|  |  |
| --- | --- |
| **Reference number:** |       |
| **Study reference:** |       |

|  |  |
| --- | --- |
| **Secured amount1:** | CHF       for the clinical trial, for the research projectof whichCHF       per test subject for bodily injuryCHF       per test subject for property damage |

|  |  |
| --- | --- |
| **Duration[[10]](#footnote-10):** | from      until       |

|  |  |
| --- | --- |
| **Claims handling by:** |        |

**Signatures and stamp:**

1. Sponsor or (in case of Art. 3, para. 2 HRO) investigator. [↑](#footnote-ref-1)
2. Note: Coverage for clinical trials of category A (for medicinal products, transplant products, medical devices, as well as for other clinical trials) is required only if applicable measures for collecting health-related personal data or for sampling biological material are connected with *more than merely minimal risks and stresses* (Art. 12 ClinO, Art. 3 Abs. 1 ClinO-MD). [↑](#footnote-ref-2)
3. For “non-clinical” trials, i.e. research projects according to Art. 6f. HRO, trials of category A are always exempt from the liability guarantee (Art. 13 HRO). [↑](#footnote-ref-3)
4. Please attach. [↑](#footnote-ref-4)
5. Please attach fund bylaws. [↑](#footnote-ref-5)
6. Please attach schedule . [↑](#footnote-ref-6)
7. Please specify and confirm applicability as well as the fact that research projects pursuant to HRA are covered. [↑](#footnote-ref-7)
8. Please attach. [↑](#footnote-ref-8)
9. Please attach. [↑](#footnote-ref-9)
10. Note: The extended coverage period is 10 years after completion of the clinical trial (Art. 13, para. 3 ClinO) or research project (Art. 13, para. 3 HRO). [↑](#footnote-ref-10)